DAVID B. COX, J. D.

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Reiman School of Finance
Daniels College of Business
University of Denver
Denver, CO 80208
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EDUCATION:

J. D., UNIVERSITY OF DENVER 1996

· Class Rank: 3/85
· Order of St. Ives
· Recipient, Cathy Stricklin Krendl Award for Business Planning, April 1996
· Recipient, West Publishing Company Award for Outstanding Academic Achievement, 1996
· Member, University of Denver Appellate Advocacy Team, 1994-1996

PH.D. FINANCE, (A.B.D.) TEXAS TECH UNIVERSITY 1988

· Advanced Finance studies: Corporate Finance, Agency Theory, Investments and Portfolio Theory, Option Pricing Theory, Bank Management
· Minor Areas of Study: Economics and Statistics

M.S.B.A. FINANCE, TEXAS TECH UNIVERSITY 1985

Emphasis: Corporate Finance, Investment and Security Analysis, and Bank Management

B.S.B.A. ACCOUNTING, TEXAS TECH UNIVERSITY 1983

Emphasis in Financial Reporting, Audit and Tax

EXPERIENCE:

DIRECTOR OF GRADUATE PROGRAMS, DANIELS COLLEGE OF BUSINESS

2007-Present
Responsibilities: Curriculum Development and Execution, Assessment of Programs Offered

SENIOR LECTURER, DEPARTMENT OF FINANCE, UNIVERSITY OF DENVER

1997-Present
Courses Taught: Corporate Finance I, Multinational Financial Management, Foundations of Business Decisions, Values I, Values II, Risk Management, Security Analysis and Valuation, Business Environment

Adjunct Courses Taught, University of Denver College of Law: Business Planning (Spring 1998, Spring 1999)

LECTURER, DEPARTMENT OF FINANCE, UNIVERSITY OF DENVER

1988-1997

Courses Taught: Corporate Finance I, Corporate Finance II, Investments, Securities Analysis, Fixed Income Analysis, Multinational Financial Management, International Economics

LAW CLERK, HALIGMAN & LOTTNER, P.C.

Feb. 1995-May 1996

Responsibilities: Research legal issues on behalf of clients; Draft and revise commercial real estate documents, including loan documents, transfer of ownership documents, covenant restrictions and homeowner association documents

PRINCIPAL, MAHAN COX & SULLIVAN, ASSET MANAGEMENT GROUP

1988-1991

· Cash management services for small to medium sized firms

· Placed in excess of $200 million in Treasury and Agency Securities

ACADEMIC ACTIVITIES:

PUBLICATIONS:

· "The Role of Sustainable Development in Risk Assessment and Management for Multinational Corporations", with R. Bruce Hutton, Maclyn L. Clouse and John Gaensbauer, Multinational Business Review, August 2007

· "Section 3: Cost of Capital & Capital Structure", Treasury Management Guide, National Corporate Cash Management Association, 1991

· "Section 4: Capital Budgeting & Leasing", Treasury Management Guide, National Corporate Cash Management Association, 1991

· "Section 20: Employee Benefits & Pension Fund Management", Treasury Management Guide, National Corporate Cash Management Association, 1991

· "Requested, Recommended and Allowed Returns to Equity: Serendipity or Substance", with R. Charles Moyer and Raymond E. Spudeck, Southern Business Review, Spring 1987

COMPLIANCE MANUAL:

· UCC Compliance Manual for Colorado Lenders, Neil O. Littlefield, Publisher: Vinton Slivka & Panasci, P.C. and Bankers' Bank of the West, Spring 1994. Provided substantial editorial and drafting assistance to primary author

PRESENTATIONS:

· "Integrating Corporate Citizenship into MNC'S Risk Assessment and Management Process: A Case of Newmont Mining in Ghana", with R. Bruce Hutton and William Zisch, Presented at *Is Corporate Citizenship Making a Difference? An International Academic Symposium* hosted by the United Nations Global Compact, the UNISA Centre for

Corporate Citizenship, and the Lindenberg Center for Global Citizenship, November 21-22, 2006

· "The Role of Sustainable Development in Risk Assessment and Management for Multinational Corporations", with R. Bruce Hutton, Maclyn L. Clouse and John Gaensbauer, Presented at *Multinational Enterprise and Sustainable Development: Strategic Tool for Competitiveness*, A Research Colloquium, hosted by The Georgia Tech Center for International Business Education and Research, The ICN Business School (Nancy, France), and Groupe de Recherche en Gestion (Nancy-Metz, France) October 20, 2006

· "Public Utility Rate Regulation: Agency Aspects of 'Natural Monopolies'", with R. Charles Moyer and Raymond E. Spudeck, Presented at the 1986 Financial Management Association meetings

· "Determinants of Put Option Values on Corporate Bonds", with Robert E. Chatfield and R. Charles Moyer, Presented at the 1986 Financial Management Association meetings

· "The Choice of Bond Stipulations: The Put Provision and Agency Costs", with Robert E. Chatfield and R. Charles Moyer, Presented at the 1986 Financial Management Association meetings

COMMITTEE RESPONSIBILITIES:

· Faculty Senate Representative from Finance
  · Representative to Faculty Senate Finance Committee
  · Committee Chairman, AY 2005-2006, 2006-2007

· University Search Committee – Vice Chancellor for Advancement, 2005-2006

· College Search Committee – two positions in HRTM School, 2005-2006

· College Search Committee – three positions in Burns School of RECM, 2006

· Curriculum Committee Representative from Finance
  · Chairman of Committee, AY 2002-2003, 2003-2004, 2004-2005

· Business and Ethics Column Committee
  Responsibilities:
  · Chair of Committee, March 1994 - 1996
  · Authorship and Editing of Weekly Syndicated Column for the Rocky Mountain News

· Values Committee
  Responsibilities:
  · Integration of Values and Ethics into the MBA program at the University of Denver
  · Honor Code and 'Just Community' Creation for the College of Business

· Teaching in Values I and Values II course for MBA program

· FBD Teaching Team
Responsibilities:
· Development/Teaching Finance portion of integrated business decision tools

EXECUTIVE PRESENTATIONS:

· Prepared and Presented "Political Risk in International Finance", for Executive Seminar in Global Treasury Management (May 2002)

· Prepared and Presented "Ethics and Professionalism in Finance", for the Financial Executives Institute (April 2000)

EXECUTIVE EDUCATION:

· Prepared and Delivered "Executive Leadership Program", an Integrated Program focusing on Leadership, Ethics, Finance, and Strategic Integration of Sustainable Development, for Newmont Corporation, with Scott McLagan, Bruce Hutton, Dennis Wittmer, and Buie Sewell, 2005-present (continuing program)

· Prepared and Delivered "Executive Training Program", Finance and Accounting, for TIMET Corporation, with Scott McLagen and Dennis Wittmer, 2006-present (continuing program)

· Author, "New Visions Financial Services", M&A Integration Training Case for First Data Corporation, 2004

· Prepared and Delivered "Understanding Orica's Financial Strategy and Your Role in Impacting Financial Performance" for Orica Corporation, w/ Ron Rizzuto, January 2004

· Prepared and Delivered "Finance and Contractual Management" for OMI Corporation, w/ Mac Clouse January 2003

· Prepared and Delivered "First Data Finance", Tier I and Tier II classes, Domestically and Internationally, numerous delivery dates

BOOK REVIEWS:

· Modelling Fixed Income Securities and Interest Rate Options, 2d Edition, Jarrow, Full text review December 1998

· Modelling Fixed Income Securities and Interest Rate Options, Jarrow, R., Irwin/McGraw-Hill, Full text review September 1997

· Financial Institutions, Maxwell, C., Harper Collins Publishers, December 1991, 5 Chapter Review; Full text review January 1991

· Financial Management: An Introduction to Theory and Practice, Seniw, J., and K.

Merrill, Harper Collins Publishers, November 1991, prospectus and sample chapters

· Principles of Modern Financial Management, Author unknown, Harper Collins Publishers, April 1991, 3 sample chapters and prospectus

SEMINARS PRESENTED:

· CFA Review Course, prepared and delivered review material for the CFA examination process for: Fixed Income Securities (Level I), 1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999; Quantitative Methods (Levels I and II), 1991, 1992, 1993; Portfolio Management (Level I), 1996; Economics (Level I) 1997, 1998; and Economics (Level II), 1993, 1996, 1997, 1998, 1999

· Inventory Management, Center for Management Development, University of Denver, Summer 1991

· Business Ethics versus Economic Efficiency: The Case of Insider Trading, Department of Finance/Department of Legal Studies Joint Presentation with Somnath Basu and Donald R. Nelson, Winter 1991

· The Budgeting Process, Center for Management Development, University of Denver, Spring 1990

· Investments, Center for Management Development, University of Denver, Fall 1990

HONORS AND AWARDS:

SCHOLARSHIP OF TEACHING AWARD
Daniels College of Business, AY 2005-2006

OUTSTANDING FACULTY AWARD
The Women's College, University of Denver, Academic Year 2001-2002

ORDER OF ST. IVES
University of Denver College of Law Honorary Society for Top Ten Percent of Graduating Class, May 1996

CATHY STRICKLIN KRENDL AWARD FOR BUSINESS PLANNING
University of Denver College of Law, April 1996

WEST PUBLISHING COMPANY AWARD FOR OUTSTANDING ACADEMIC ACHIEVEMENT
University of Denver College of Law, Spring 1996

UNIVERSITY OF DENVER COLLEGE OF LAW AWARDS FOR SCHOLASTIC EXCELLENCE:
· Commercial Real Estate, Fall 1995
· Corporate Taxation, Spring 1995

AMERICAN JURISPRUDENCE AWARDS:

· Property, Fall 1993
· Sales, Fall 1993

PROFESSOR OF THE YEAR

Weekend College, University of Denver, Academic Year 1988-1989

GRADUATE STUDENT TEACHING AWARD

Texas Tech University, Academic Year 1987-1988