

## **J. Christopher Hughen, Ph.D., CFA**

---

### **Education**

Ph.D. in Finance, University of Missouri, 2000  
M.A. in Finance and Banking, University of Alabama, 1994  
B.S. in Finance, Birmingham-Southern College, 1993

### **Professional Designation**

Awarded the Chartered Financial Analyst (CFA) designation in 2001 by the CFA Institute

### **Work Experience**

2007 – Associate Professor of Finance, University of Denver  
Teaching Multinational Finance, Corporate Finance, and Security Analysis in the graduate and undergraduate programs.

2005 – 2007 Assistant Professor of Finance, University of Denver

2000 – 2005 Assistant Professor of Finance, Bowling Green State University  
Taught Corporate Finance, Portfolio Management, and International Financial Management in the undergraduate and MBA programs.

1995 – 2000 Graduate Instructor and Research Assistant, University of Missouri  
Taught Corporate Finance, Financial Institutions, and Investments.

1994 – 1995 Financial Associate, Kimberly-Clark  
Performed forecasting and financial analysis to support decision-making in manufacturing operations. Implemented capital budgeting and cost analysis for the technical paper division.

### **Publications**

Hughen, J. Christopher and Prem G. Mathew, The Efficiency of International Information Flow: Evidence from the ETF and CEF Prices, forthcoming in the *International Review of Financial Analysis*.

Graham, J. Edward and J. Christopher Hughen, 2007, Ownership Structure, Expectations, and Short Sales on the Nasdaq, *The Journal of Economics and Finance* 31, 33-48.

Hughen, J. Christopher and Cynthia G. McDonald, 2006, Does Order Flow Commonality Extend Across Trade Sizes and Securities? *Financial Management* 35, 107-128.

Hughen, J. Christopher and Mark E. Wohar, 2006, Identifying Regime Changes in Closed-End Fund Discounts, *The Journal of Economics and Finance* 30, 115-132.

## **J. Christopher Hughen, Ph.D., CFA**

---

Hughen, J. Christopher, Prem G. Mathew, and Kent P. Ragan, 2005, A NAV a Day Keeps the Inefficiency Away? Fund Trading Strategies using Daily Net Asset Values, *Financial Services Review* 14, 213-230.

Hughen, J. Christopher and Cynthia G. McDonald, 2005, Who are the Noise Traders? *The Journal of Financial Research* 28, 281-298.

Hughen, J. Christopher, Prem G. Mathew, and Kent P. Ragan, 2004, Market Segmentation, Short-Term Trading Strategies, and Country Funds, *The International Journal of Finance* 16, 2964-2984.

Mathew, Prem G., J. Christopher Hughen and Kent P. Ragan, 2004, A Reexamination of Information Flow in Financial Markets: The Impact of Regulation FD and Decimalization, *The Quarterly Journal of Business and Economics* 43, 123-147.

Hughen, J. Christopher, 2003, How Effective is Arbitrage of Foreign Stocks? The Case of the Malaysia Exchange-Traded Fund, *The Multinational Business Review* 11, 17-27.

Ragan, Kent P., J. Christopher Hughen, and Francis E. Laatsch, 2003, An Intraday Analysis of the Mexican Stock Exchange, *The Journal of Accounting and Finance Research* 11, 48-57.

Hughen, J. Christopher, Francis E. Laatsch, and Daniel P. Klein, 2002, Withdrawal Patterns and Rebalancing Costs for Taxable Portfolios, *Financial Services Review* 11, 341-366.

Laatsch, Francis E. and J. Christopher Hughen, 2002, Withdrawal Rates, Buffer Portfolios, and Asset Allocation: Simulation Results, *The Journal of Accounting and Finance Research* 10, 67-75.

Hughen, J. Christopher, 2001, Premiums on Exchange-Traded Funds: Should Traders be Concerned? *Investment Guide*, 70-75.

### **Conference Presentations of Research**

Financial Management Association Meetings, 2005, 2003, 2002, 1999

Midwest Finance Association Meetings, 2006, 2004, 2003, 2002, 2001

Academy of Financial Services Meetings, 2007, 2006, 2005, 2003, 2002

Eastern Finance Association Meeting, 2002

Southern Finance Association Meeting, 2003

Academy of Economics and Finance Meeting, 2005

## **J. Christopher Huguen, Ph.D., CFA**

---

### **Other Conference Activities**

Investment Track Chair, Academy of Financial Services Meeting, 2006  
Program Committee Member, Midwest Finance Association Meetings, 2002, 2003  
Program Committee Member, Academy of Financial Services Meeting, 2007, 2003  
Session Chair, Midwest Finance Association Meetings, 2002  
Discussant, Financial Management Association Meetings, 2000, 2002  
Discussant, Midwest Finance Association Meetings, 2001, 2003  
Discussant, Academy of Financial Services Meetings, 2007, 2002, 2003

### **Reviewer**

*Financial Services Review*, 2005, 2008  
*Multinational Business Review*, 2003, 2004  
*Quarterly Journal of Business and Economics*, 2003  
*Managerial Finance*, 2003  
*Financial Counseling and Planning*, 2002  
*Financial Review*, 1999

### **Awards**

Scholarship of Discovery Award, 2008, Daniels College of Business  
Academic Journal Article Award, 2003, CFP Board of Examiners  
CFP Board of Standards Paper Award, 2002, Academy of Financial Services Meetings

### **Membership in Professional Societies**

CFA Institute  
CFA Society of Colorado  
Financial Management Association

### **Professional Service Activities**

Grader, Chartered Financial Analyst (CFA) Examination (2002–2004, 2008)  
President, Financial Analysts Society of Toledo (2003–2004)  
Vice-President, Financial Analysts Society of Toledo (2002–2003)  
Secretary, Financial Analysts Society of Toledo (2001–2002)

### **Personal Information**

Born in 1970, Citizen of U.S.A., Married  
S.S.I. Certified Scuba Diver