

# **BRUCE WILLIAM KLAW**

Department of Business Ethics and Legal Studies  
Daniels College of Business, University of Denver  
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## **ACADEMIC APPOINTMENTS**

**University of Denver, Daniels College of Business, Denver, Colorado**  
Assistant Professor, Department of Business Ethics and Legal Studies (2013-Present)

Research: Preventing Corruption, White Collar Crime and Corporate Malfeasance  
Government Regulation of and Participation in Business Enterprises  
Effective Teaching of Law, Ethics and Public Policy

Courses: Law & Public Policy (MBA 4610 - graduate)  
Mitigating Risk, Securing Value and Navigating Public Policy (Denver MBA forthcoming)  
Foundations of Business Law (LGST 2000 - undergraduate)  
Entrepreneurial Business Law (EVM 3360 - undergraduate)

Service: Co-Founder & Faculty Coordinator, Legal Accelerator for Student Entrepreneurs  
Member, Daniels' Graduate Programs Committee (Fall 2015-Present)  
Interviewee and Panelist, Institute for Enterprise Ethics (2013-Present)  
Peer Reviewer, American Business Law Journal (2014-Present)  
Peer Referee, Int'l Assoc. for Business & Society, Annual Meeting (2014, 2016)  
Peer Reviewer, Rocky Mountain Law Journal (2016-Present)  
Committee Member & Speaker, Ethics Boot Camp (Fall 2013-Present)

Awards: Academy of Legal Studies in Business Hoerber Memorial Award for Excellence in Research,  
Journal of Legal Studies Education (2016)  
Nominee, Daniels Award for Excellence for Faculty (2015)  
Faculty Member leaving a "Meaningful Positive Impact," Provost's Alumni Survey (2015)  
DU Top Professor of the Quarter, Denver CRU Student Association (Fall 2013)

**Keimyung University, College of Law & Police Sciences, Daegu, South Korea**  
Assistant Professor, Department of Police Law (2010-2013)

Courses: White Collar Crime; International Criminal Law; Crime Prevention; American Criminal  
Law; Criminal Justice Theory & Policy; American Police Law

Service: Co-Founder, Pre-Law Advising Center  
Faculty Search Committee Member, College of Law & Police Sciences

**Kyungpook National University Law School, Daegu, South Korea**  
Adjunct Professor (Spring 2011)

Courses: American Compensation Law (Torts and 5<sup>th</sup> Amendment Takings)

## **EDUCATION**

**Harvard Law School**, Cambridge, Massachusetts

Juris Doctorate

Activities: Harvard International Law Journal  
Consortium on Global Leadership (grad. student org. with HLS, HBS, and KSG)

Thesis: *Head of State Immunity from Criminal Prosecution: Four Prosecution Scenarios Reconsidered*

**Universiteit Leiden**, The Netherlands

Advanced LL.M. coursework in Public Int'l Law, Int'l Criminal Law & Int'l Organizations

**State University of New York at Binghamton**, Binghamton, New York

Bachelor of Arts, Philosophy, Politics & Law (*summa cum laude*; *Phi Beta Kappa*)

Teaching Assistantships: Constitutional Law, Civil Rights & Liberties, Philosophy of Law

Research Assistantship: Professor Rorie Spill Solberg, Department of Political Science

## **REFEREED JOURNAL ARTICLES (4)**

- B.W. Klaw, *Why Now is the Time to Statutorily Ban Insider Trading Under the Equality of Access Theory*, 7 WILLIAM & MARY BUS. L. REV. 275-345 (March 2016) (Target Journal)
- B.W. Klaw, *Deal-Making 2.0: A New Experiential Simulation in Contract Negotiation and Drafting For Business Students in the Global and Digital Age*, 33 J. LEGAL STUDIES EDUC. 37-69 (Winter 2016) (Target Journal)
- B.W. Klaw, *State Responsibility for Bribe Solicitation and Extortion: Obligations, Obstacles & Opportunities*, 33 BERKELEY J. INTL L. 60-113 (2015) (Target Journal)
- B.W. Klaw, *A New Strategy for Preventing Bribery and Extortion in International Business Transactions*, 49 HARVARD J. ON LEGIS. 303-371 (2012) (Target Journal)

## **REFEREED CONFERENCE PROCEEDINGS (1)**

- J. Holcomb, L. Dhooge, A. Barraquier, & B.W. Klaw, *Globalizing the Business and Society Curriculum: Integrating Law, Ethics and Public Policy*, PROCEEDINGS OF THE 25<sup>TH</sup> ANNUAL MEETING OF THE INTERNATIONAL ASSOCIATION FOR BUSINESS & SOCIETY (2014)

## **BOOK CHAPTERS (1)**

- B.W. Klaw, *Ethics and Securities Regulation*, ENCYCLOPEDIA OF PUBLIC ADMINISTRATION AND PUBLIC POLICY (3<sup>RD</sup> ED.) (2015)

## **ACADEMIC CONFERENCE PAPER PRESENTATIONS (7)**

- B.W. Klaw, *The Business of Corruption After McDonnell v. United States*, Annual Meeting of the Rocky Mountain Academy of Legal Studies in Business (Vail, CO, October 2016)
- B.W. Klaw & D. Mayer, *Should Insider Trading Be Legal?* Annual Meeting of the Rocky Mountain Academy of Legal Studies in Business (Vail, CO, September 2015)
- B.W. Klaw, *Why Overturning U.S. v. Newman Is Insufficient and Why Now Is The Time To Statutorily Ban Insider Trading Under the Equality of Access Theory* Annual Meeting of the Academy of Legal Studies in Business (Philadelphia, PA, August 2015)
- B.W. Klaw, *Deal-Making 101: An Exercise in Contract Negotiation and Drafting* Annual Meeting of the Rocky Mountain Academy of Legal Studies in Business (Vail, CO, October 2014)
- B.W. Klaw & T. Olsen, *Do Investors Care About Corporate Wrongdoing? An Empirical Study Into the Materiality of Revelations of Corporate Malfeasance.* Annual Meeting of the Academy of Legal Studies in Business (Seattle, WA, August 2014)
- B.W. Klaw & T. Olsen, *Do Investors Care About Corporate Wrongdoing? An Empirical Study Into the Materiality of Revelations of Corporate Malfeasance.* 25<sup>th</sup> Annual Meeting of the International Association for Business and Society (Sydney, Australia, June 2014)
- B.W. Klaw, *State Responsibility for Bribe Solicitation and Extortion: Obligations, Obstacles and Opportunities* Annual Meeting of the Academy of Legal Studies in Business (Boston, MA, August 2013)

## **ACADEMIC CONFERENCE PANELS (3)**

- *Rediscovering the Corporation as a Person* (presented by J. Holcomb and J. Lenn) 27<sup>th</sup> Annual Meeting of the international Association for Business and Society (Park City, Utah, June 2016)
- *Towards a Viable Model of Strategic U.S. Equity Investment in Business Enterprises* Corporate Governance Research Panel, 2015 Annual Meeting of the Academy of Legal Studies in Business (Philadelphia, PA, August 2015)
- *Globalizing the Business and Society Curriculum: Integrating Law, Ethics and Public Policy* 25<sup>th</sup> Annual Meeting of the International Association For Business and Society (Sydney, Australia, June 2014)

## **OTHER INVITED PRESENTATIONS AND GUEST LECTURES**

- *Avoiding Prison: How Ethics Can Help You Avoid Criminal Liability... Even If You Forget The Law*  
Ethics Boot Camp, Daniels College of Business  
(Denver, CO - Oct. 2013, Jan. 2014, April 2014, Oct. 2014, Jan. 2015, Oct. 2015, Sept. 2016)
- *Contracting in the Start-Up: An Overview of Social and Legal Agreements for New Entrepreneurs*  
Denver MBA Program, Daniels College of Business  
(Denver, CO, September 2016)
- *Entrepreneurial Law and the Art of Risk Taking: How to Take Risks Without Risking it All*  
University of Denver, Entrepreneurship Minor, The Messy Start-Up  
(Denver, CO, Oct. 2015)
- *South Korean Culture and the Korean Business Environment*  
Executive MBA Program, Daniels College of Business  
(Denver, CO, May 2014)
- *Preventing Bribery and Extortion in International Business*  
Daniels College of Business, Ethics Boot Camp  
(Denver, CO, Oct. 2013)
- *The Constitutional and Political Battle Over Obama's Health Care Law*  
Kyungpook National University Law School  
(Daegu, South Korea, Nov. 2011)
- *The Bush Administration's Policy on Torture and Its Impact on Terrorist Prosecutions in the Obama Era*  
Kyungpook National University Law School  
(Daegu, South Korea, Dec. 2010)

## **WORKS IN PROGRESS**

- T. Olsen and B.W. Klaw, *Do Investors Care About Corporate Malfeasance? Disclosure, Materiality & Market Reactions to Corporate Irresponsibility* (working paper under revision)
- B.W. Klaw, *The Business of Corruption After McDonnell v. United States* (in progress)

## **MEDIA APPEARANCES**

- *Corporate Integrity 2016, LIBOR Rate-Rigging Scandal*  
Institute for Enterprise Ethics, Daniels College of Business  
Available at: <https://www.youtube.com/watch?v=KwQE9WavrJU> (Feb. 18, 2016)
- *Ethically Speaking: Why Hasn't Anyone Gone to Jail*  
Institute for Enterprise Ethics, Daniels College of Business (Oct. 6, 2015)  
Available at: <http://www.enterpriseethics.org/EthicallySpeakingEpisode2/tabid/156/Default.aspx>
- *Quarterly Ethics Review – Insider Trading, Whistleblowing Bounties, and UBER's Regulatory Strategy*  
Institute for Enterprise Ethics, Daniels College of Business  
Available at: <https://www.youtube.com/watch?v=48OtlviRB2U> (Jan. 27, 2015)

- *Ethics in the Headlines – Insider Trading*, Institute for Enterprise Ethics, Daniels College of Business Available at: <https://www.youtube.com/watch?v=Q1GaJIPWpNI> (Oct. 23, 2013)
- *Legal Remedies for Unjust Enrichment and Self-Dealing in the Hyundai Glovis Scandal* Televised Interviewee, KBS1 Korean National News (Nov. 4, 2010)

## **SELECTED LEGAL EXPERIENCE**

### **STOEL RIVES LLP, Portland, OR**

Litigation Associate, Trial Section (2008-2010)

- Represented and advised individual and corporate clients on internal investigations and DOJ/SEC enforcement actions related to alleged money-laundering and antitrust violations.
- Litigated on behalf of City of Albuquerque to defend comprehensive green energy law.
- Advised major athletics-apparel company and philanthropic foundation on risk management.
- Provided *pro bono* assistance on behalf of ACLU to parent denied free speech rights.

### **DEBEVOISE & PLIMPTON LLP, New York, NY**

Associate, White Collar Criminal Defense & Int'l Dispute Resolution Practice (2004-2008)

Summer Associate (2003)

- Advised global financial institutions on enforcement actions by the DOJ and banking regulators regarding alleged money laundering, Bank Secrecy Act and RICO violations.
- Member of litigation team on four-year effort to vindicate consular treaty rights of detained foreign nationals in cases before the International Court of Justice and U.S. Supreme Court:
  - *Case Concerning Avena and Other Mexican Nationals* (Mex. v. U.S.), 2004 I.C.J. 128
  - *Medellin v. Dretke*, Case No. 04-5928, 544 U.S. 660 (2005)
  - *Sanchez-Llamas v. Oregon*, Case No. 04-10566, 548 U.S. 331 (2006)
  - *Medellin v. Texas*, Case No. 06-984, 552 U.S. 491 (2008)
- Supervised attorneys and accountants in internal investigation into possible violations of the Foreign Corrupt Practices Act by major U.K. energy company.
- Provided *pro bono* assistance and advice to assistant prosecutor of the Special Court for Sierra Leone on response to then-Liberian President Charles Taylor's initial motion to quash indictment and arrest warrant on grounds of Head of State immunity and lack of jurisdiction.

### **JUDGE SANG-HYUN SONG, International Criminal Court, The Hague Netherlands**

Legal Assistant (Fall/Winter 2003)

- Provided analysis and commentary on Draft Regulations for use in preparatory meetings.

### **UNITED STATES ATTORNEY'S OFFICE, S.D.N.Y (Criminal Division), New York, NY**

Legal Intern (Summer 2002)

- Researched criminal statutes and U.S. Sentencing Guidelines for use by federal prosecutors.

### **PROFESSOR ROBERT WEISBERG, Stanford Law School, Palo Alto, California**

Research Assistant (Summer 2000)

- Researched and synthesized scholarship on comparative gun control law and policy.

## **BAR ADMISSIONS AND PROFESSIONAL AFFILIATIONS**

- Admitted to Federal and State bars in New York (active) and Oregon (inactive)
- Academy of Legal Studies in Business, Member (2013-Present)
- Rocky Mountain Academy of Legal Studies in Business, Member (2013-Present)
- International Association for Business and Society, Member (2014-Present)
- Korean Law Professors Association, Member (2010-2013)
- American Civil Liberties Union of Oregon, Volunteer Attorney (2008-2010)
- Legal Aid Services of Oregon, Volunteer Attorney (2009-2010)